

# NOIP

NATIONAL ORGANIZATION OF  
INVESTMENT PROFESSIONALS

**2021 Fall Conference  
New York Stock Exchange  
October 25/26 2021**

**Monday October 25**

4pm - 5:30pm - Board of Directors Meeting, Chairman's Room

5:40pm - Guests arrive at white tent at 18 Broad Street. Please have a government issued ID and proof of vaccination uploaded into the Clear app. As a backup, please have your vax card or a picture of it.

6pm - 7pm - Reception, NYSE Trading Floor

7pm - 9pm - Dinner with guest speaker Matt Taibbi, Boardroom

**Tuesday October 26**

\*All events in the Siebert Room

7:10am - Guests arrive at white tent at 18 Broad Street. Please have a government issued ID and proof of vaccination uploaded into the Clear app. As a backup, please have your vax card or a picture of it.

7:30am - Continental Breakfast

8am - Membership Meeting

8:25am - 9:05am - **State of Play: Digital Asset Policy**

Host: Michelle Bond\*, CEO, Association for Digital Asset Markets (ADAM)

A Fireside Chat with:

Greg Tusar\*, Head of Institutional Product, Coinbase  
Trey Griggs, Chief Executive Officer USA, GSR

9:10am - 9:50am - **ESG in Trading - How Should Financial Markets be Greener?**

Moderator: Kate Faraday\*, Global Head of Corporate Responsibility, PineBridge Investments

Panelists:

Duncan Higgins, Director, Lichen Consulting  
Frank Loughlin\*, Global Co-Head of Equity Trading, AllianceBernstein  
Heidi Fischer\*, Co-Head of Execution Services, Instinet  
John Ramsay, Chief Market Policy Officer, IEX

9:55am - 10:35am - **Trends in Retail Trading**

Moderator: Andrew Upward\*, ETF Strategist, Jane Street

Panelists:

Jim Swartwout – President and COO, Robinhood Securities LLC  
Robert Colby – Chief Legal Officer, FINRA  
Nick Nielsen\* - Global Head of Trading, Marshall Wace

10:40am - 11:20am - **Mark to Market: Checking in on US Equity Market Structure**

Moderator: Urvashi Batra\* – Head of US Electronic Trading Products, J.P. Morgan

Panelists:

Hubert DeJesus - Global Head of Market Structure and Electronic Trading, Blackrock  
Hope Jarkowski\* – Head of Equities, NYSE  
Joe Mecane\* – Head of Execution Services, Citadel Securities

\*NOIP Member