

Gary Goldsholle currently holds the position of Managing Director at Charles Schwab within the Legal Group, with a focus on trading, markets, and operations. Gary joined Schwab in March 2023, following his role as the Chief Regulatory Officer and General Counsel at the Long-Term Stock Exchange.

Mr. Goldsholle has held executive positions at several regulatory bodies. Notably, he served as Deputy Director of the SEC's Division of Trading and Markets, overseeing the offices of Market Supervision, Clearance and Settlement, and Chief Counsel. During this tenure, he led rulemaking initiatives covering equity market structure, security-based swaps, and heightened standards for clearing agencies, among others. Additionally, he supervised and participated in the review of SRO and NMS Plan rule proposals, as well as provided support to the Divisions of Examinations and Enforcement.

Before joining the SEC, Gary was General Counsel of the MSRB, where he was responsible for all legal matters and managed aspects related to market regulation, professional qualifications, enforcement support, and corporate governance. During his time at the MSRB, he led the development and implementation of a new set of rules for municipal advisors, including regulations addressing fiduciary duties and standards of conduct, political contributions (pay-to-play), supervision, and registration requirements.

Prior to these roles, Mr. Goldsholle worked for over 15 years at FINRA, where he served as Vice President and Associate General Counsel. In this capacity, he was responsible for regulatory policy and rule development, and provided legal counsel to many operating divisions within FINRA including Enforcement, Market Regulation, Corporate Financing and Advertising, and Business Services.

Mr. Goldsholle began his legal career at the law firm of Steptoe & Johnson, LLC in Washington, DC, where he later returned as a partner.

In addition to his professional roles, Gary is actively engaged in the industry. He is a member of FINRA's Market Regulation Committee, serves on the Board of Advisors of the SEC Historical Society, and is a former Chair of the DC Bar's Section on Corporation, Finance, and Securities Law.

Mr. Goldsholle holds a BS degree in Computer Science from Duke University and a JD degree from the University of Chicago Law School. He also earned a general course certificate from the London School of Economics and Political Science.